

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

## **VOLKSWAGEN / AUDI 2.0-LITRE TDI EMISSIONS SETTLEMENT PROGRAM IN CANADA**

### **CLAIM FORM**

If you are claiming for more than one potentially eligible vehicle, you must submit a separate claim form for each vehicle. If you are a fleet customer please contact 1-888-670-4773 for additional instructions on how to submit a claim.

**To file your claim for the  
Volkswagen / Audi 2.0-L TDI Emissions Settlement Program in Canada, you may:**

- (1) File a claim online on or before September 1<sup>st</sup>, 2018 by visiting [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca). Online claim submission will result in expedited processing of your claim.**
- (2) File a paper claim by completing this form and returning it along with any required documentation on or before September 1<sup>st</sup>, 2018 by Canada Post Mail or courier to the following:**

VOLKSWAGEN CLASS ACTION ADMINISTRATION  
P.O. Box 7071, 31 Adelaide Street East  
Toronto, ON M5C 3H2

**If submitting by Canada Post Mail or courier, the envelope must be postmarked by September 1<sup>st</sup>, 2018.**

**IMPORTANT: BEFORE FILLING OUT THIS CLAIM FORM, READ THIS ENTIRE FORM, AND THE FAQ CAREFULLY. THEY CONTAIN ADDITIONAL INFORMATION REGARDING YOUR ELIGIBILITY FOR SETTLEMENT BENEFITS AND OTHER IMPORTANT INFORMATION.**

If you do not have a copy of the FAQ or any other settlement documentation, you can download copies online for free by visiting [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or request copies by calling 1-888-670-4773.

The claims administrator, RicePoint Administration Inc., is responsible for processing claims. Within 30 days of receiving your claim form and documentation, RicePoint will acknowledge receipt in writing by email (if an email address is provided) or Canada Post mail. You may be advised that your form is complete or that additional information is needed to process your claim or that additional time is needed to review your claim for completeness. If there is more than one owner or lessee for a potentially eligible vehicle, any required information and documentation must be submitted for each owner and lessee.

**If you would like to submit a claim online, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca).**

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

### **INSTRUCTIONS**

***\*\*If you need help completing this form,  
please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call toll-free 1-888-670-4773.\*\****

- (1) If you have submitted a claim online via the Online Claims Portal at [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca), you do not need to fill out this form.
- (2) Submitting your claim online is preferred, as it reduces wait times caused by the speed of mail service and will result in faster processing of your claim. If you would prefer to submit your claim online, please visit the Online Claims Portal at [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca).
- (3) You do not need to complete every page of this form. You only need to fill out the applicable portions of this form, as outlined in the box on page 3.
- (4) Please submit every page of this form (even if blank). Submitting all pages will result in faster processing of your claim.
- (5) You must submit all requested documentation, depending on your eligibility status. We will contact you if your claim or documents are incomplete.
- (6) You must sign where indicated in Step 11 for your claim to be processed.

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

To determine which sections of the form you need to complete, see below.

• **Current Owner.**

- If you are the current owner of the vehicle and purchased the vehicle on or before September 18, 2015, complete: **Steps 1, 2, 3, 4 and 11.**
- If you are the current owner of the vehicle and purchased the vehicle after September 18, 2015 and you were **not** leasing the vehicle on September 18, 2015, complete: **Steps 1, 2, 3, 5 and 11.**

• **Current Lessee.**

- If you currently lease the vehicle from VW Credit Canada, Inc. or Audi Finance, complete: **Steps 1, 2, 3, 6 and 11.**

• **Former Lessee (Purchased Leased Vehicle).**

- If you formerly leased the vehicle from VW Credit Canada, Inc. or Audi Finance **and** you purchased the vehicle after September 18, 2015, complete: **Steps 1, 2, 3, 7 and 11.**
- If you formerly leased the vehicle from VW Credit Canada, Inc. or Audi Finance **and** you purchased the vehicle after September 18, 2015, but have since sold the vehicle, complete: **Steps 1, 2, 3, 8 and 11.**

• **Former Lessee (Surrendered Leased Vehicle).**

- If you formerly leased the vehicle from VW Credit Canada, Inc. or Audi Finance on September 18, 2015, but you have now turned the vehicle in, complete: **Steps 1, 2, 3, 8 and 11.**

• **Seller.**

- If you owned the vehicle as of September 18, 2015 but sold or otherwise transferred ownership before January 4, 2017, complete: **Steps 1, 2, 3, 9 and 11.**

• **Totalled vehicle.**

- If the vehicle you owned on September 18, 2015 was totalled and you consequently transferred its title to an insurance company on or after September 18, 2015 but before January 4, 2017 or on or after March 5, 2017, complete **Steps 1, 2, 3, 10 and 11.**

If you believe you may be eligible to claim benefits under the Settlement but do not fit into any of the categories above, or if you have any questions about which sections you need to complete, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call 1-888-670-4773.

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

## STEP 1: VEHICLE MODEL AND MODEL YEAR

Check the box next to your vehicle model and model year. Check **only one** box in each column. If you are claiming for more than one potentially eligible vehicle, you must submit a separate claim form for each vehicle.

<b>VOLKSWAGEN ELIGIBLE VEHICLES</b>	
MODEL	MODEL YEAR
<input type="checkbox"/> 2.0-L W Beetle TDI	<input type="checkbox"/> 2013 <input type="checkbox"/> 2014 <input type="checkbox"/> 2015
<input type="checkbox"/> 2.0-L Golf TDI	<input type="checkbox"/> 2010 <input type="checkbox"/> 2011 <input type="checkbox"/> 2012 <input type="checkbox"/> 2013 <input type="checkbox"/> 2015
<input type="checkbox"/> 2.0-L Golf Wagon TDI	<input type="checkbox"/> 2010 <input type="checkbox"/> 2011 <input type="checkbox"/> 2012 <input type="checkbox"/> 2013 <input type="checkbox"/> 2014
<input type="checkbox"/> 2.0-L Golf Sportwagon TDI	<input type="checkbox"/> 2015
<input type="checkbox"/> 2.0-L Jetta TDI	<input type="checkbox"/> 2009 <input type="checkbox"/> 2010 <input type="checkbox"/> 2011 <input type="checkbox"/> 2012 <input type="checkbox"/> 2013 <input type="checkbox"/> 2014 <input type="checkbox"/> 2015
<input type="checkbox"/> 2.0-L Jetta Wagon TDI	<input type="checkbox"/> 2009
<input type="checkbox"/> 2.0-L Passat TDI	<input type="checkbox"/> 2012 <input type="checkbox"/> 2013 <input type="checkbox"/> 2014 <input type="checkbox"/> 2015
<b>AUDI ELIGIBLE VEHICLES</b>	
MODEL	MODEL YEAR
<input type="checkbox"/> 2.0-L A3 TDI	<input type="checkbox"/> 2010 <input type="checkbox"/> 2011 <input type="checkbox"/> 2012 <input type="checkbox"/> 2013 <input type="checkbox"/> 2015

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

**STEP 2: INDIVIDUAL INFORMATION****Individual Information**

Please complete the following information for the current owner(s), current lessee(s), former owner(s) or former lessee(s) of the potentially eligible vehicle. If the potentially eligible vehicle has multiple owners or lessees, please provide information for additional owners or lessees below and, if necessary, on a separate sheet. Please use each individual's full legal name.

Is the vehicle registered to (check one):  Private Owner(s) or Lessee(s)  Business Organization

Business Organization Name(s) (if applicable):

First Name		Middle Name		Last Name	
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Contact Phone (Required)

Email Address

Would you like to receive updates sent to this email address concerning the status of any claim filed and/or notifications of any actions you are required to take to pursue a claim? (If you select "Yes", you will receive status notifications by email. If you select "No", you will receive status updates about your claim by Canada Post mail. You may also call the Claims Administrator toll-free at 1-888-670-4773.)

(Select one)  YES  NO

**Additional Owner or Lessee (if applicable)**

First Name		Middle Name		Last Name	
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Contact Phone

**Registered Address**

Please provide the address that matches the registration for the potentially eligible vehicle. If your address is different than the vehicle's registered address or you no longer own or lease the vehicle, fill out the mailing address in the "Mailing Address" section below.

Street

City

Province/  
Territory/State

Postal/Zip  
Code

**Mailing Address**

Complete this section only if your mailing address differs from the registered address supplied above, or if you no longer own or lease the vehicle.

Street

City

Province/  
Territory/State

Postal/Zip  
Code

Country

(Select one)  CANADA  U.S.  (Other) \_\_\_\_\_

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

### Additional Information

*Please answer the questions below and sign below. Each Owner or Lessee listed above must complete and sign this section.*

Are there any fines or liens, other than a lien associated with vehicle financing, against the vehicle that is the subject of this claim? (If you are not a Current Owner, you may skip this question)

YES       NO

If you answered Yes, we suggest paying off your fines and additional lien(s) not associated with vehicle financing prior to submitting your claim form to avoid delays in the processing of your claim.

Are the names of the owner(s) or lessee(s) listed above in Step 2 different from the name(s) on the registration for the vehicle? (If you are a lessee, you may skip this question.)

YES       NO

Are you or any other individual listed on this form a Volkswagen officer, director, employee or participant in the VW Internal Lease Program; a Volkswagen affiliate or an officer, director or employee of a Volkswagen affiliate; an Authorized VW Dealer or an officer or director of an Authorized VW Dealer?

YES       NO

Are you or any other individual listed on this form a presiding judge or Class Counsel?

YES       NO

Are you or any other individual listed on this form military personnel currently serving overseas or government personnel currently on assignment overseas?

YES       NO

If yes, is your vehicle located overseas?

YES       NO

If you answer "Yes" to both of these questions, you may be subject to additional documentation requirements, as listed below.

Are you filing a claim on behalf of a decedent's estate? If you answer "Yes" to this question, please provide documentation indicating your authority to file on behalf of a decedent's estate. (i.e. Copy of will or Power of Attorney).

YES       NO

By checking this box and signing this form, I certify that the above answers are true and accurate to the best of my knowledge.

Signature:

Date:

Print Name:

Additional Owner or Lessee Signature:

Date:

Additional Owner or Lessee Print Name:

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

### **STEP 3: VEHICLE INFORMATION**

#### **Vehicle Identification Number (VIN)**

*The VIN can be found on the provincial vehicle registration, vehicle insurance card, or on the vehicle itself – either on the driver’s side dashboard at the bottom of the windshield of the vehicle or on the driver’s side door jamb and is 17 characters long. To confirm whether or not your vehicle is eligible for participation in the Settlement Program, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca).*

VIN (Please provide all 17 digits of the eligible vehicle’s VIN):

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#### **Additional Vehicle Information**

*If you are the current owner or you currently lease the vehicle, please fill in the information below. If you are not the current owner or you no longer lease the vehicle, please proceed to Step 4.*

Current Vehicle Mileage	(Kilometres)	As of Date:
Has the odometer ever been broken, altered or replaced? (Select one.) <input type="checkbox"/> YES <input type="checkbox"/> NO		
Did your vehicle have a branded title of Dismantled, Junk, Salvage, or Mechanically Unfit on September 18, 2015? (Select one.) <input type="checkbox"/> YES <input type="checkbox"/> NO		
Was your vehicle acquired by any person or entity from a junkyard or salvage yard after September 18, 2015? (Select one.) <input type="checkbox"/> YES <input type="checkbox"/> NO		
Can your vehicle be driven under the power of its own 2.0-L TDI engine? (Select one.) <input type="checkbox"/> YES <input type="checkbox"/> NO		

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

*To determine the next section that you should complete, please see below. If you have any questions about the section that you need to complete, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call 1-888-670-4773.*

- If you are the current owner of the vehicle identified in Step 3 and purchased your vehicle on or before September 18, 2015, fill out **Step 4** and then skip to **Step 11**.
- If you are the current owner of the vehicle identified in Step 3 and purchased the vehicle after September 18, 2015 and you were **not** leasing the vehicle on September 18, 2015, fill out **Step 5** and then **Step 11**.
- If you currently lease the vehicle identified in Step 3, fill out **Step 6** and then skip to **Step 11**.
- If you formerly leased the vehicle identified in Step 3 and purchased the vehicle at the end of the lease, fill out **Step 7** and then skip to **Step 11**.
- If you formerly leased the vehicle identified in Step 3 but don't have it in your possession now because you returned your vehicle at the end of your lease OR purchased it after September 18, 2015, but have since sold it, fill out **Step 8** and then skip to **Step 11**.
- If you owned the vehicle identified in Step 3 as of September 18, 2015 but sold or otherwise transferred ownership before January 4, 2017, fill out **Step 9** and then **Step 11**.
- If the vehicle you owned on or before September 18, 2015 identified in Step 3 was totalled and you sold it to an insurance company on or after September 18, 2015 and before January 4, 2017 or on or after March 5, 2017, fill out **Step 10** and then skip to **Step 11**.

## **STEP 4: CURRENT OWNER INFORMATION**

### **Ownership Information**

*If you are the current owner of the vehicle identified in Step 3 and purchased your vehicle on or before September 18, 2015, please fill out the information below. Otherwise, please skip to Step 5.*

Which of the statements below most accurately describes the ownership of the vehicle? (*Select one.*)

I am the current owner of this vehicle. There is no loan on this vehicle.

I am the current owner of this vehicle. There is an outstanding loan attached to this vehicle that I make payments on.

Please enter the date that you purchased the vehicle. Documentation will be required (i.e. Bill of Sale).

DAY:

MONTH:

YEAR:

Did you purchase this vehicle at the end of a lease from VW Credit Canada, Inc. or Audi Finance? (*Select one.*)

YES

NO



Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

**Vehicle Financing Information**

Complete this section only if you intend to request a Buyback, a Buyback with Trade In or a Surrender for Inoperability and if there is currently an outstanding loan attached to the vehicle. You must also complete the financial release below to allow us to communicate with your lender(s). If there are additional lenders, please copy this page and complete and execute the Financial Release separately for each lender.

Account Holder(s) First Name(s)		Account Holder(s) Middle Initial(s)		Account Holder(s) Last Name(s)	
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Name of Lender:

Lender Address:

Lender Phone Number:

What is the current outstanding loan balance? (This information will be verified.) \$ \_\_\_\_\_.

Account Number:

**Financial Release**

By checking this box and signing this form, I authorize the release of all information relating to my loan, or lien, including but not limited to contract and outstanding balance (the "Information") to Ricepoint Administration Inc., as the Court-appointed Claims Administrator. I also authorize Ricepoint Administration Inc. to release only that Information necessary for the proper administration of my claim to Volkswagen Group Canada Inc., Class Counsel in the Volkswagen / Audi 2.0-L TDI Emissions Settlement and/or my preferred authorized VW / Audi dealer selected below.

Signature of Current Owner(s):

Date:

Print Name of Current Owner(s):

Signature of Current Owner(s):

Date:

Print Name of Current Owner(s):

**Preferred Dealership**

Vehicle buybacks, buybacks with trade-in, emissions modifications (if approved) and Surrenders for Inoperability will require a visit to an authorized Volkswagen or Audi dealership to complete the transaction. Please indicate your preferred dealership below. This selection is not binding and can be changed at any point until 20 days before your scheduled claims appointment. A list of authorized Volkswagen or Audi dealerships can be found at [www.vw.ca](http://www.vw.ca), [www.audi.ca](http://www.audi.ca) or by calling 1-888-670-4773.

Dealership Name			
Dealership City		Dealership Province/Territory	

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

## Offer Selection

*If you were the owner of your vehicle on September 18, 2015, and continue to own it, please select one of the three options below for the settlement of your claim. If your vehicle has become inoperable on or after March 5, 2017, the Surrender for Inoperability benefit below may be available to you for the settlement of your claim. You may change your selection at any point until 20 days before your scheduled claims appointment by calling 1-888-670-4773.*



### Vehicle Buyback

Vehicle Buyback is the option of returning a vehicle to an authorized dealership and receiving the buyback payment. The buyback payment includes two components: 1) your Vehicle Value based on Canadian Black Book® Inc.'s used vehicle wholesale value as of September 18, 2015, plus 2) a Damages Payment.

The amount received under this option will be affected by the vehicle mileage on the vehicle buyback date and the amount of any outstanding loan obligations on the vehicle. To learn more about how vehicle mileage and loan obligations will impact the payment amount, or to find out more about the buyback option, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call toll-free 1-888-670-4773.

I elect the Buyback option. (You may change this selection later).



### Buyback with Trade-In

Buyback with trade-in is the option of returning a vehicle to an authorized dealership and trading it in towards the purchase of a new or used Volkswagen or Audi vehicle and receiving the buyback with trade-in payment. The buyback with trade-in includes three components: 1) the purchase price of the vehicle you are buying will be reduced by your current vehicle's Fair Market Value based on Canadian Black Book® Inc.'s used vehicle wholesale value at the time of the trade-in, which will reduce the taxable portion owed on the vehicle you are buying, 2) you will receive a cash payment equal to the difference between your current vehicle's Vehicle Value buyback amount based on Canadian Black Book® Inc.'s used vehicle wholesale value as of September 18, 2015 and its Fair Market Value, plus 3) you will also receive a Damages Payment.

The amount received under this option will be affected by the vehicle mileage on the vehicle buyback with trade-in date and the amount of any outstanding loan obligations on the vehicle. To learn more about how vehicle mileage and loan obligations will impact the payment amount, or to find out more about the buyback with trade-in option, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call toll-free 1-888-670-4773.

I elect the Buyback with Trade-In option. (You may change this selection later).

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_



## Approved Emissions Modification & Extended Emissions Warranty

(if approved by Regulators)

Approved Emissions Modification & Extended Emissions Warranty is the option of keeping a vehicle and receiving an emissions modification that is approved by regulators. An authorized dealership will perform an Approved Emissions Modification on your vehicle, free of charge, and you will also receive an extended emissions warranty covering the emissions system of your vehicle. In addition, you will receive a Damages Payment.

If you have a model year 2015 vehicle, the Approved Emissions Modification has two phases. The first phase is available now, and the second phase will become available in early 2018.

If you have a model year 2014 or earlier vehicle, to determine if an Approved Emissions Modification for your vehicle is available now, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca). If a modification for your vehicle is not available now, we will inform you if a modification is approved through email and/or mail (depending on your stated preference). Once you have been notified, you may schedule an appointment for your vehicle to receive the Approved Emissions Modification.

To learn more about this option, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call toll-free 1-888-670-4773.

I am interested in the Emissions Modification option, if approved. (You may change this selection later).



## Surrender For Inoperability

Current Owners who own a vehicle that becomes inoperable on or after March 5, 2017, and who do not wish to make their vehicle operable and eligible for other available benefits, have the option to surrender their vehicle to an authorized dealership. A vehicle is inoperable if it cannot be driven under the power of its own 2.0-L TDI engine. If you are eligible for and select this option, you will receive a Damages Payment. This option does not include a Vehicle Value buyback amount.

The amount received under this option will be affected by the amount of any outstanding loan obligations on the vehicle. To learn more about how loan obligations will impact the payment amount, or to learn more about this option, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call toll-free 1-888-670-4773.

I elect the Surrender For Inoperability option

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

## Required Documentation – Buyback, Buyback with Trade-In and Surrender for Inoperability

*If you selected the Buyback, Buyback with Trade-In or Surrender for Inoperability option above, you must submit a copy of each of the following types of documentation with your claim form.*

- Copy of proof of purchase (Bill of Sale) for the vehicle referenced in **Step 3**. The documentation must clearly indicate the date of purchase.
- Current valid government issued photo identification of each Current Owner named in **Step 2** (front and back).
- If the vehicle is registered to a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact the Buyback, Buyback with Trade-In or Surrender for Inoperability on behalf of the corporate entity.
- Copy of current valid vehicle registration or the most recent registration for the vehicle referenced in **Step 3** (front and back). Note: if you are a non-Volkswagen dealer, you may provide a Bill of Sale in lieu of vehicle registration.
- If you are not the current owner and are filing a claim on behalf of someone else, a power of attorney. If you don't have a power of attorney, please contact the Claims Administrator toll-free at 1-888-670-4773.
- If the vehicle is registered to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as a Corporate Authorization.
- If you selected the box indicating that you are military or government personnel currently on assignment overseas, please send proof of overseas assignment. If you did not select this box, you do not need to submit these documents.
- If you selected the box indicating that you are filing a claim on behalf of a decedent's estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit these documents.
  - A death certificate for the decedent; and
  - A document showing your authority to transact on behalf of the decedent.

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

## Required Documentation – Approved Emissions Modification

*If you selected the Approved Emissions Modification option above, you must submit a copy of each of the following types of documentation with your claim form.*

- Current valid government issued photo identification of each Current Owner named in **Step 2** (front and back).
- If the vehicle is registered to a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact the Buyback, Buyback with Trade-In or Surrender for Inoperability on behalf of the corporate entity.
- Copy of current valid vehicle registration or the most recent registration for the vehicle referenced in **Step 3** (front and back). Note: if you are a non-Volkswagen dealer, you may provide a Bill of Sale in lieu of vehicle registration.
- If you are not the current owner and are filing a claim on behalf of someone else, a power of attorney. If you don't have a power of attorney, please contact the Claims Administrator toll-free at 1-888-670-4773.
- If the vehicle is registered to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as a Corporate Authorization.
- If you selected the box indicating that you are military or government personnel currently on assignment overseas, please send proof of overseas assignment. If you did not select this box, you do not need to submit these documents.
- If you selected the box indicating that you are filing a claim on behalf of a decedent's estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit these documents.
  - A death certificate for the decedent; and
  - A document showing your authority to transact on behalf of the decedent.

***Please skip to Step 11 to sign and complete this form.***

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

**STEP 5: PURCHASER INFORMATION****Ownership Information**

If you currently own the vehicle identified in Step 3 and purchased it after September 18, 2015 and you were not leasing the vehicle on September 18, 2015, please fill out the information below.

Did you own the vehicle on September 18, 2015? (Select one.)

 YES       NO

Please enter the date that you purchased the vehicle. Documentation will be required.

DAY:

MONTH:

YEAR:

**Vehicle Financing Information**

Complete this section only if you intend to request a Surrender for Inoperability and if there is currently an outstanding loan attached to the vehicle. You must also complete the financial release below to allow us to communicate with your lender(s). If there are additional lenders, please copy this page and complete and execute the Financial Release separately for each lender.

Account Holder(s) First Name(s)		Middle Initial(s)		Account Holder(s) Last Name(s)	
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Name of Lender:

Lender Address:

Lender Phone Number:

What is the current outstanding loan balance? (This information will be verified.) \$ \_\_\_\_\_.

Account Number:

**Financial Release**

By checking this box and signing this form, I authorize the release of all information relating to my loan, or lien, including but not limited to contract and outstanding balance (the "Information") to Ricepoint Administration Inc., as the Court-appointed Claims Administrator. I also authorize Ricepoint Administration Inc. to release only that Information necessary for the proper administration of my claim to Volkswagen Group Canada Inc., Class Counsel in the Volkswagen / Audi 2.0-L TDI Emissions Settlement and/or my preferred authorized VW / Audi dealer selected below.

Signature of Current Owner(s):

Date:

Print Name of Current Owner(s):

Signature of Current Owner(s):

Date:

Print Name of Current Owner(s):

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

## Preferred Dealership

Emissions modifications (if approved) and Surrenders for Inoperability will require a visit to an authorized Volkswagen or Audi dealership to complete the transaction. Please indicate your preferred dealership below. This selection is not binding and can be changed at any point until 20 days before your scheduled claims appointment. A list of authorized Volkswagen or Audi dealerships can be found at [www.vw.ca](http://www.vw.ca), [www.audi.ca](http://www.audi.ca) or by calling 1-888-670-4773.

Dealership Name			
Dealership City		Dealership Province/Territory	

## Offer Selection

If you currently own your vehicle and purchased it after September 18, 2015 and you were not leasing the vehicle on September 18, 2015, the benefit below may be available to you for the settlement of your claim. If your vehicle has become inoperable on or after March 5, 2017, the Surrender for Inoperability benefit below may be available to you for the settlement of your claim.



### Approved Emissions Modification & Extended Emissions Warranty

(if approved by Regulators)

Approved Emissions Modification & Extended Emissions Warranty is the option of keeping a vehicle and receiving an emissions modification that is approved by regulators. An authorized dealership will perform an Approved Emissions Modification on your vehicle, free of charge, and you will also receive an extended emissions warranty covering the emissions system of your vehicle. In addition, you will receive a Damages Payment.

If you have a model year 2015 vehicle, the Approved Emissions Modification has two phases. The first phase is available now, and the second phase will become available in early 2018.

If you have a model year 2014 or earlier vehicle, to determine if an Approved Emissions Modification for your vehicle is available now, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca). If a modification for your vehicle is not available now, we will inform you if a modification is approved through the Canadian Customer Claims Portal, email and/or mail (depending on your stated preference). Once you have been notified, you may schedule an appointment for your vehicle to receive the Approved Emissions Modification.

To learn more about this option, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call toll-free 1-888-670-4773

I am interested in the Emissions Modification option, if approved.

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_



## Surrender For Inoperability

Current Owners who own a vehicle that becomes inoperable on or after March 5, 2017, and who do not wish to make their vehicle operable and eligible for other available benefits, have the option to surrender their vehicle to an authorized dealership. A vehicle is inoperable if it cannot be driven under the power of its own 2.0-L TDI engine. If you are eligible for and select this option, you will receive a Damages Payment. This option does not include a Vehicle Value buyback amount.

The amount received under this option will be affected by the amount of any outstanding loan obligations on the vehicle. To learn more about how loan obligations will impact the payment amount, or to learn more about this option, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call toll-free 1-888-670-4773.

I elect the Surrender For Inoperability option

### Required Documentation – Approved Emissions Modification and Surrender for Inoperability

*If you selected the Approved Emissions Modification or Surrender for Inoperability option above, you must submit a copy of each of the following types of documentation with your claim form.*

- Current valid government issued photo identification of each Current Owner named in **Step 2** (front and back)
- If the vehicle is registered to a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact the Approved Emissions Modification on behalf of the corporate entity.
- Copy of current valid vehicle registration or the most recent registration for the vehicle referenced in **Step 3** (front and back). Note: if you are a non-Volkswagen dealer, you may provide a Bill of Sale in lieu of vehicle ownership/registration.
- If you are not the current owner and are filing a claim on behalf of someone else, a power of attorney. If you don't have a power of attorney, please contact the Claims Administrator toll-free at 1-888-670-4773.
- If the vehicle is registered to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as a Corporate Authorization.
- If you selected the box indicating that you are military or government personnel currently on assignment overseas, please send proof of overseas assignment. If you did not select this box, you do not need to submit these documents.
- If you selected the box indicating that you are filing a claim on behalf of a decedent's estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit these documents.
  - A death certificate for the decedent; and
  - A document showing your authority to transact on behalf of the decedent.

***Please proceed to Step 11 to sign and complete this form.***



Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

## **STEP 6: CURRENT LESSEE INFORMATION**

### **Lessee Information**

*If you currently lease the vehicle identified in Step 3 from VW Credit Canada, Inc. or Audi Finance, please fill out the information below. Otherwise, please skip to Step 7.*

Is your lease through VW Credit Canada, Inc. or Audi Finance? (Select one.)

YES

NO (leases not through VW Credit Canada or Audi Finance are **not** eligible for settlement benefits)

Please enter the date that you started your lease of the vehicle. This will be independently verified.

DAY:

MONTH:

YEAR:

### **Preferred Dealership**

*Lease terminations and emissions modifications (if approved) will require a visit to an authorized Volkswagen or Audi dealership to complete the transaction. Please indicate your preferred dealership below. This selection is not binding and can be changed at any point until 20 days before your scheduled claims appointment. A list of authorized Volkswagen or Audi dealerships can be found at [www.vw.ca](http://www.vw.ca), [www.audi.ca](http://www.audi.ca) or by calling 1-888-670-4773.*

Dealership Name			
Dealership City		Dealership Province/Territory	

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

## Offer Selection

*If you currently lease your vehicle from VW Credit Canada, Inc. or Audi Finance, please select one of the two options below for the settlement of your claim. You may change your selection at any point until 20 days before your scheduled claims appointment by calling 1-888-670-4773.*



### Early Lease Termination

Early Lease Termination is the option of returning a vehicle to an authorized dealership to terminate the lease early, without penalty. If you are eligible for and select this option, you will receive a Damages Payment.

To obtain Early Lease Termination, the Current Lessee must pay any delinquent balance, along with any other fees, penalties or costs due, pursuant to the terms of the lease. Excess mileage will be calculated as kilometres driven above the total mileage allowed under the full term of your Lease Agreement. Your Lease Agreement also states the charge for any additional kilometres.

To learn more about this option please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call 1-888-670-4773.

**I elect the Early Lease Termination option. (You may change this selection later).**

By checking this box and signing this form, I authorize the release of all information relating to my lease, including but not limited to contract, and outstanding balance (the "Information") to Ricepoint Administration Inc., as the Court-appointed Claims Administrator. I also authorize Ricepoint Administration Inc. to release only that Information necessary for the proper administration of my claim to Volkswagen Group Canada Inc., Class Counsel in the Volkswagen / Audi 2.0-L TDI Emissions Settlement and/or my preferred authorized VW / Audi dealer.

Signature of Lessee(s):

Date:

Print Name of Lessee(s):

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_



## Approved Emissions Modification & Extended Emissions Warranty

(if approved by Regulators)

Approved Emissions Modification & Extended Emissions Warranty is the option of keeping a vehicle and receiving an emissions modification that is approved by regulators. An authorized dealership will perform an Approved Emissions Modification on your vehicle, free of charge, and you will also receive an extended emissions warranty covering the emissions system of your vehicle. In addition, you will receive a Damages Payment.

If you have a model year 2015 vehicle, the Approved Emissions Modification has two phases. The first phase is available now, and the second phase will become available in early 2018.

If you have a model year 2014 or earlier vehicle, to determine if an Approved Emissions Modification for your vehicle is available now, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca). If a modification for your vehicle is not available now, we will inform you if a modification is approved through email and/or mail (depending on your stated preference). Once you have been notified, you may schedule an appointment for your vehicle to receive the Approved Emissions Modification.

To learn more about this option, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call toll-free 1-888-670-4773

**I am interested in the Emissions Modification option, if approved. (You may change this selection later).**

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

## Required Documentation – Early Lease Termination and Approved Emissions Modification

*If you selected the Early Lease Termination or the Approved Emissions Modification option above, you must submit a copy of each of the following types of documentation with your claim form.*

- Current valid government issued photo identification of the Lessee(s) named in **Step 2** (front and back).
- If the vehicle is leased to a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact the Lease Termination on behalf of the corporate entity.
- Copy of current valid vehicle registration or the most recent registration for the vehicle referenced in **Step 3** (front and back).
- If you are not the current lessee and are filing a claim on behalf of someone else, a power of attorney. If you don't have a power of attorney, please contact the Claims Administrator toll-free at 1-888-670-4773.
- If the vehicle is registered to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as a Corporate Authorization.
- If you selected the box indicating that you are military or government personnel currently on assignment overseas, please send proof of overseas assignment. If you did not select this box, you do not need to submit these documents.
- If you selected the box indicating that you are filing a claim on behalf of a decedent's estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit these documents.
  - A death certificate for the decedent; and
  - A document showing your authority to transact on behalf of the decedent.

***Please skip to Step 11 to sign and complete this form.***

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

**STEP 7: FORMER LESSEE (PURCHASED VEHICLE AT END OF LEASE)****Lessee Information**

If you *formerly* leased your vehicle from VW Credit Canada, Inc. or Audi Finance and purchased the vehicle at the end of the lease, please fill out the information below. Otherwise, please skip to Step 8.

Did you lease this vehicle through VW Credit Canada, Inc. or Audi Finance? (Select one.)

 YES NO (leases not through VW Credit Canada or Audi Finance are **not** eligible for settlement benefits)

Please enter the date that you started your lease of the vehicle. This will be independently verified.

DAY:

MONTH:

YEAR:

Please enter the date when you ended your lease and purchased the vehicle. This will be independently verified.

DAY:

MONTH:

YEAR:

**Vehicle Financing Information**

Complete this section *only* if you intend to request a Surrender for Inoperability and if there is currently an outstanding loan attached to the vehicle. You must also complete the financial release below to allow us to communicate with your lender(s). If there are additional lenders, please copy this page and complete and execute the Financial Release separately for each lender.

Account Holder(s) First Name(s)		Middle Initial(s)		Account Holder(s) Last Name(s)	
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Name of Lender:

Lender Address:

Lender Phone Number:

What is the current outstanding loan balance? (This information will be verified.) \$ \_\_\_\_\_.

Account Number:

**Financial Release**

By checking this box and signing this form, I authorize the release of all information relating to my loan, or lien, including but not limited to contract and outstanding balance (the "Information") to Ricepoint Administration Inc., as the Court-appointed Claims Administrator. I also authorize Ricepoint Administration Inc. to release only that Information necessary for the proper administration of my claim to Volkswagen Group Canada Inc., Class Counsel in the Volkswagen / Audi 2.0-L TDI Emissions Settlement and/or my preferred authorized VW / Audi dealer selected below.

Signature of Current Owner(s):

Date:

Print Name of Current Owner(s):

Signature of Current Owner(s):

Date:

Print Name of Current Owner(s):

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

## Preferred Dealership

Emissions modifications (if approved) and Surrender for Inoperability will require a visit to an authorized Volkswagen or Audi dealership to complete the transaction. Please indicate your preferred dealership below. This selection is not binding and can be changed at any point until 20 days before your scheduled claims appointment. A list of authorized Volkswagen or Audi dealerships can be found at [www.vw.ca](http://www.vw.ca), [www.audi.ca](http://www.audi.ca) or by calling 1-888-670-4773.

Dealership Name			
Dealership City		Dealership Province/Territory	

## Offer Selection

If you had an active lease through VW Credit Canada, Inc. or Audi Finance as of September 18, 2015 and purchased your vehicle at the end of your lease, below is the benefit that may be available to you for the settlement of your claim. If your vehicle has become inoperable on or after March 5, 2017, the Surrender for Inoperability benefit below may be available to you for the settlement of your claim.



### Approved Emissions Modification & Extended Emissions Warranty (if approved by Regulators)

Approved Emissions Modification & Extended Emissions Warranty is the option of keeping a vehicle and receiving an emissions modification that is approved by regulators. An authorized dealership will perform an Approved Emissions Modification on your vehicle, free of charge, and you will also receive an extended emissions warranty covering the emissions system of your vehicle. In addition, you will receive a Damages Payment.

If you have a model year 2015 vehicle, the Approved Emissions Modification has two phases. The first phase is available now, and the second phase will become available in early 2018.

If you have a model year 2014 or earlier vehicle, to determine if an Approved Emissions Modification for your vehicle is available now, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca). If a modification for your vehicle is not available now, we will inform you if a modification is approved through email and/or mail (depending on your stated preference). Once you have been notified, you may schedule an appointment for your vehicle to receive the Approved Emissions Modification.

To learn more about this option, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call toll-free 1-888-670-4773

I am interested in the Emissions Modification option, if approved. (You may change this selection later).

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_



## Surrender For Inoperability

Current Owners who own a vehicle that becomes inoperable on or after March 5, 2017, and who do not wish to make their vehicle operable and eligible for other available benefits, have the option to surrender their vehicle to an authorized dealership. A vehicle is inoperable if it cannot be driven under the power of its own 2.0-L TDI engine. If you are eligible for and select this option, you will receive a Damages Payment. This option does not include a Vehicle Value buyback amount.

The amount received under this option will be affected by the amount of any outstanding loan obligations on the vehicle. To learn more about how loan obligations will impact the payment amount, or to learn more about this option, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call toll-free 1-888-670-4773.

I elect the Surrender For Inoperability option

### Required Documentation – Approved Emissions Modification and Surrender for Inoperability

*If you selected the Approved Emissions Modification or the Surrender for Inoperability option above, you must submit a copy of each of the following types of documentation with your claim form.*

- Current valid government issued photo identification of each Current Owner named in **Step 2** (front and back)
- If the vehicle is owned by a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact the Approved Emissions Modification on behalf of the corporate entity.
- Copy of current valid vehicle registration or the most recent registration for the vehicle referenced in **Step 3** (front and back).
- If you are not the current owner and are filing a claim on behalf of someone else, a power of attorney. If you don't have a power of attorney, please contact the Claims Administrator toll-free at 1-888-670-4773.
- If the vehicle is registered to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as a Corporate Authorization.
- If you selected the box indicating that you are military or government personnel currently on assignment overseas, please send proof of overseas assignment. If you did not select this box, you do not need to submit these documents.
- If you selected the box indicating that you are filing a claim on behalf of a decedent's estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit these documents.
  - A death certificate for the decedent; and
  - A document showing your authority to transact on behalf of the decedent.

***Please skip to Step 11 to sign and complete this form.***

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

## **Step 8: FORMER LESSEE (VEHICLE NOT IN YOUR POSSESSION)**

### **Lessee Information**

*If you formerly leased your vehicle from VW Credit Canada, Inc. or Audi Finance and returned your vehicle at the end of your lease OR purchased it after September 18, 2015, but have since sold it, please fill out the information below. Otherwise, please skip to Step 9.*

Did you lease this vehicle through VW Credit Canada, Inc. or Audi Finance? (Select one.)

YES

NO (leases not through VW Credit Canada or Audi Finance are **not** eligible for settlement benefits)

Please enter the date that you started your lease of the vehicle. This will be independently verified.

DAY:

MONTH:

YEAR:

Please enter the date when you ended your lease of the vehicle. This will be independently verified.

DAY:

MONTH:

YEAR:

### **Offer Selection**

*If you had an active lease through VW Credit Canada, Inc. or Audi Finance as of September 18, 2015 but returned your vehicle at the end of your lease or purchased it after September 18, 2015, but have since sold it, below is the benefit that may be available to you for the settlement of your claim.*



### **Former Lessee Cash Payment**

This offer includes a Damages Payment.

To learn more about this offer, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call 1-888-670-4773.

I elect the Former Lessee Payment offer.



Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

### **Required Documentation – Former Lessee Cash Payment**

*If you selected the Former Lessee Cash Payment offer above, you must submit a copy of each of the following types of documentation with your claim form.*

- Current valid government issued photo identification of the former lessee(s) named in **Step 2** (front and back).
- If the vehicle was leased to a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact on behalf of the corporate entity.
- If you are not the former lessee and are filing a claim on behalf of someone else, a power of attorney. If you don't have a power of attorney, please contact the Claims Administrator toll-free at 1-888-670-4773.
- If the vehicle is registered to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as a Corporate Authorization.
- If you selected the box indicating that you are filing a claim on behalf of a decedent's estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit these documents.
  - A death certificate for the decedent; and
  - A document showing your authority to transact on behalf of the decedent.

***Please skip to Step 11 to sign and complete this form.***

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

## **STEP 9: SELLER INFORMATION**

### **Seller Information**

*If you formerly owned the vehicle identified in Step 3 as of September 18, 2015 but sold or otherwise transferred ownership of the vehicle before January 4, 2017, please fill out the information below. Otherwise, please skip to Step 10.*

Did you own the vehicle on September 18, 2015? (Select one.)

YES       NO

Did you sell the vehicle after January 4, 2017? (Select one.)

YES       NO

Please enter the date that you purchased the vehicle. Documentation will be required.

DAY:

MONTH:

YEAR:

Please enter the date that you sold the vehicle. Documentation will be required.

DAY:

MONTH:

YEAR:

### **Offer Selection**

*If you owned the vehicle as of September 18, 2015 and sold or otherwise transferred ownership of the vehicle before January 4, 2017, the benefit below may be available to you for the settlement of your claim.*



### **Eligible Seller Cash Payment**

This offer includes a Damages Payment.

To learn more about this offer, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call 1-888-670-4773.

I elect the Eligible Seller Cash Payment offer.

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

### Required Documentation – Eligible Seller Cash Payment

*If you selected the Eligible Seller Cash Payment offer above, you must submit a copy of each of the following types of documentation with your claim form.*

- Current valid government issued photo identification of the former Owner(s) named in **Step 2** (front and back).
- If the vehicle was owned by corporate entity, a current valid government issued photo identification of the individual that is authorized to transact on behalf of the corporate entity.
- Proof of Sale between September 18, 2015 and January 4, 2017, submit **one** of the following documents:
  - Copy of the Bill of Sale identifying the former Owner(s) named in **Step 2** as the seller, the date of sale and the vehicle VIN;
  - Copy of vehicle Registration identifying the former Owner(s) named in **Step 2** as the transferor, the date of the transfer and the vehicle VIN;
  - Proof of notification to provincial ministry of transportation that the vehicle was sold and the registration was canceled, including the date and vehicle VIN.
- Copy of proof of purchase (Bill of sale) for the vehicle referenced in **Step 3**. The documentation must clearly show the vehicle was purchased on or before September 18, 2015. You may also submit a copy of the vehicle Registration identifying the former Owner(s) named in **Step 2** as the owners of the vehicle on or before September 18, 2015.
- If you are not the former owner and are filing a claim on behalf of someone else, a power of attorney. If you don't have a power of attorney, please contact the Claims Administrator toll-free at 1-888-670-4773.
- If the vehicle was registered to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as a Corporate Authorization.
- If you selected the box indicating that you are filing a claim on behalf of a decedent's estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit these documents.
  - A death certificate for the decedent; and
  - A document showing your authority to transact on behalf of the decedent.

***Please proceed to Step 11 to sign and complete this form.***

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

## **STEP 10: VEHICLE TOTALLED AND SOLD TO AN INSURANCE COMPANY**

### **Ownership Information**

*If you totalled the vehicle identified in Step 3 that you owned on September 18, 2015 and sold it to an insurance company on or after September 18, 2015 and before January 4, 2017 or on or after March 5, 2017, please fill out the information below. Otherwise, please skip to Step 6.*

Please enter the date that you purchased the vehicle. Documentation will be required.

DAY:                      MONTH:                      YEAR:

Please enter the date that you sold the vehicle to an insurance company. Documentation will be required.

DAY:                      MONTH:                      YEAR:

Please enter the name of the insurance company to which the vehicle was sold.

### **Offer Selection**

*If you totalled your vehicle identified in Step 3 that you owned on September 18, 2015 and transferred title to an insurance company on or after September 18, 2015 and before January 4, 2017 or on or after March 5, 2017, below is the benefit available to you for the settlement of your claim.*



#### **Totalled Vehicle (Owner Damages) Payment**

This offer includes a Damages Payment.

To learn more about this offer, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) or call toll-free 1-888-670-4773.

I elect the Totalled Vehicle Payment offer.

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

### **Required Documentation – Totalled Vehicle**

*If you selected the Totalled Vehicle offer above, you must submit a copy of each of the following types of documentation with your claim form.*

- Copy of proof of purchase (Bill of Sale) for the vehicle referenced in **Step 3**. The documentation must clearly indicate the date of purchase.
- Current valid government issued photo identification of each former Owner named in **Step 2** (front and back).
- If the vehicle was registered to a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact on behalf of the corporate entity.
- Proof of vehicle transfer to Insurance company for the vehicle referenced in **Step 3**, please submit **one of** the following documents:
  - Letter or Statement from insurance company indicating that the vehicle was totaled and sold to the insurance company and the date of the sale; or
  - Copy of vehicle registration showing transfer of ownership to your insurance company.
- If you were not the owner and are filing a claim on behalf of someone else, a power of attorney. If you don't have a power of attorney, please contact the Claims Administrator toll-free at 1-888-670-4773.
- If the vehicle was registered to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as a Corporate Authorization.
- If you selected the box indicating that you are military or government personnel currently on assignment overseas, please send proof of overseas assignment. If you did not select this box, you do not need to submit these documents.
- If you selected the box indicating that you are filing a claim on behalf of a decedent's estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit these documents.
  - A death certificate for the decedent; and
  - A document showing your authority to transact on behalf of the decedent.

***Please skip to Step 11 to sign and complete this form.***

Last Name : \_\_\_\_\_

First Initial : \_\_\_\_\_

## **STEP 11: SUBMISSION, CONSENT & SIGNATURE**

By signing below I agree to allow RicePoint Administration Inc. as the Court-Appointed Claims Administrator to retain and use the information provided in this form to accomplish the purposes relevant to processing a proof of claim related to the Settlement Program including but not limited to contacting me with questions, updates or information using any or all of the provided information.\*

I provide permission for RicePoint Administration Inc. to share the information provided in this form with third parties, including Volkswagen, Class Counsel in the Volkswagen / Audi 2.0-L TDI Emissions Settlement and/or my preferred authorized VW / Audi dealer selected above only to the extent necessary or appropriate in connection with the Settlement Program or to meet other legal obligations. This may include collecting, accessing, using, preserving or disclosing the information when reasonably necessary to (a) comply with legal process or otherwise satisfy any applicable law or regulation; (b) prevent fraud or imminent harm; (c) ensure the security of information; (d) address technical issues; (e) provide data processing; (f) provide data storage; and/or (g) provide other support in order to facilitate the processing of my claim.

Signature:	Date:
Print Name:	
Signature:	Date:
Print Name:	

\* For additional details concerning the use and disclosure of information collected in connection with the administration of the Settlement Program, please visit [www.VWCanadaSettlement.ca](http://www.VWCanadaSettlement.ca) and click on the link titled "Privacy Policy".

**Submit this completed form along with any required documentation on or before September 1, 2018 by Canada Post Mail or courier to the following:**

VOLKSWAGEN CLASS ACTION ADMINISTRATION  
P.O. Box 7071, 31 Adelaide Street East  
Toronto, ON M5C 3H2